

10/16/01



1129 U.S. PTO

Atty. Dkt. No. 038602-1259

10/16/01  
09/977261  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Axel ULLRICH et al.  
Title: NOVEL MEGAKARYOCYTIC PROTEIN TYROSINE KINASES  
Prior Appl. No.: 08/426,509  
Prior Appl. Filing Date: April 21, 1995  
Examiner: Unassigned  
Art Unit: Unassigned

**CONTINUING PATENT APPLICATION**  
**TRANSMITTAL LETTER**

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a:

☐ Continuation ☒ Division ☐ Continuation-In-Part (CIP)

of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application. The entire disclosure of the above-identified prior application is considered as being part of the disclosure of the accompanying continuing application and is hereby incorporated by reference therein.

☐ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (52 pages).
- ☒ Informal drawings (22 sheets, Figures 1-14).
- ☒ Copy of Declaration and Power of Attorney (2 pages).
- ☒ Copy of Assignment of the invention to SUGEN, INC. and MAX-PLANCK-GESSELLSCHAFT ZUR FÖRDERUNG DE WISSENSCHAFTEN E.V..
- ☒ Copy of Assignment Recordation Cover Sheet.
- ☐ Small Entity statement.

- ☐ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of \_\_\_ listed reference(s).
- ☒ Preliminary Amendment.
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$740.00	\$740.00
Total Claims:	32	- 20	= 12	x \$18.00	= \$216.00
Independents:	19	- 3	= 16	x \$84.00	= \$1,344.00
If any Multiple Dependent Claim(s) present:			+	\$280.00	= \$280.00
Surcharge under 37 CFR 1.16(e) for late payment of filing fee			+	\$130.00	= \$130.00
				SUBTOTAL:	= \$2,394.00
<input type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$0.00
				TOTAL FILING FEE:	= \$2,394.00
Assignment Recordation Fee:			+	\$40.00	= \$0.00
				TOTAL FEE	= \$2,710.00

- ☐ A check in the amount of \$0.00 to cover the filing fee and fee for recordation of Assignment is enclosed.
- ☒ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

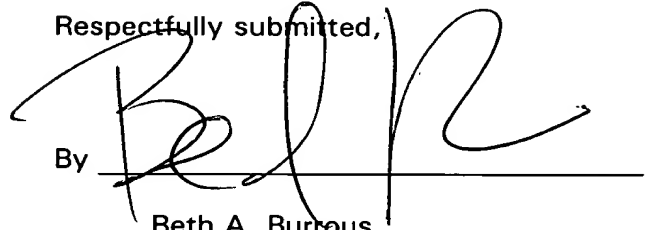
Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date 10/16/01

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Respectfully submitted,

By



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Attorney for Applicant  
Registration No. 35,087